



WELWYN HATFIELD

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Please ask for:
Gurdip Paddan

12 March 2019

Dear Councillor

You are requested to attend a meeting of the WELWYN HATFIELD BOROUGH COUNCIL AUDIT COMMITTEE to be held on Thursday 21 March 2019 at 7.30 pm in the Council Chamber, Council Offices, The Campus, Welwyn Garden City, Herts, AL8 6AE

Yours faithfully

Corporate Director
Public Protection, Planning and Governance

A G E N D A PART 1

1. SUBSTITUTION OF MEMBERS

To note any substitution of Committee members made in accordance with Council Procedure Rules 19-22.

2. APOLOGIES

3. MINUTES

To confirm as a correct record the Minutes of the meeting held on 17 January 2019 (previously circulated).

4. ACTIONS UPDATE (Pages 5 - 6)

Report of the Executive Director (Public Protection, Planning and Governance) which lists the actions from previous meetings and their current status.

5. NOTIFICATION OF URGENT BUSINESS TO BE CONSIDERED UNDER ITEM 13

6. DECLARATIONS OF INTERESTS BY MEMBERS

To note declarations of Members' disclosable pecuniary interests, non-disclosable pecuniary interests and non-pecuniary interests in respect of items on this agenda.

7. PUBLIC QUESTION TIME AND PETITIONS

Up to fifteen minutes will be available for questions from members of the public on issues relating to the work of the Committee and to receive any petitions.

8. RISK MANAGEMENT (Pages 7 - 22)

Report of the Corporate Director (Public Protection, Planning and Governance) bringing to Members' attention the current strategic and top operational risks facing the Council, as determined by the Corporate Management Team and Heads of Service. These risks had been reviewed at the performance clinic in February 2019 and reflected the assessments in place for quarter one of 2019/20.

9. SHARED INTERNAL AUDIT SERVICE (SIAS) INTERNAL AUDIT PROGRESS REPORT (Pages 23 - 32)

Report of the Shared Internal Audit Service asking Members to note the Internal Audit Progress Report and amendments to the Audit Plan for 2018/19.

10. SHARED INTERNAL AUDIT SERVICE (SIAS) 2019/20 INTERNAL AUDIT PLAN REPORT (Pages 33 - 50)

Report of the Shared Internal Audit Service on approval of the proposed Annual Audit Plan for 2019/20.

11. AUDIT PLANNING REPORT - YEAR ENDED 31 MARCH 2019 (Pages 51 - 88)

Report of Ernest & Young LLP providing the Council's Audit planning report for the year ended 31 March 2019.

12. CERTIFICATION OF CLAIMS AND RETURNS ANNUAL REPORT 2017-18 (Pages 89 - 102)

Report of Ernest & Young LLP summarising the results of their work on the Council's 2017-18 claims and returns.

13. SUCH OTHER BUSINESS AS, IN THE OPINION OF THE CHAIR, IS OF SUFFICIENT URGENCY TO WARRANT IMMEDIATE CONSIDERATION

14. EXCLUSION OF PRESS AND PUBLIC

The Committee is asked to resolve:

That under Section 100(A) (2) and (4) of the Local Government Act 1972, the press and public be now excluded from the meeting for item 15 (if any) on the grounds that it involves the likely disclosure of confidential or exempt information as defined in Section 100A (3) and Part I of Schedule 12A of the said Act as amended.

In resolving to exclude the public in respect of the exempt information, it is considered that the public interest in maintaining the exemption outweighs the public interest in disclosing the information.

PART II

15. ANY OTHER BUSINESS OF AN EXEMPT NATURE AT THE DISCRETION OF THE CHAIR

Circulation: Councillors G.Michaelides (Chairman) S.Roberts
S.Markiewicz (Vice-Chairman) S.Wrenn
J.Boulton P.Zukowskyj
J.Broach

D.Bell (Executive Member, Resources)

Corporate Management Team
Press and Public (except Part II Items)

If you require any further information about this Agenda please contact Gurdip Paddan, Governance Service on 01707357349 or email – democracy@welhat.gov.uk