Risk Report



Description of Risk

Risk Manager **Controls**

Client - Collection Risks Description: Maintain collection rates for council tax and business rates. Consequences: Financial - affects on receipts, Reputational damage, Service delivery - loss/reduction

Farhad Cantel Client - Partner Management

64 Indicator Probability Impact

Current Score

Comment: Due to the current COVID-19 pandemic, there will be additional financial pressures on residents and businesses. Whilst the Government has announced a range of measures (including extension of the small business rates relief scheme, retail relief, small business grants, retail and hospitality grants, and council tax hardship funds) we are expecting that there will be an impact on the collection rates, and ultimately this could impact on the funds available to the Council. impact on the funds available to the Council. This is being closely monitored. We continue to be behind target, but are still doing well relatively to some other areas. We are working with other Councils to look at emerging trends and collection rates. We are also closely monitoring our Council Tax Support levels. The government has announced additional support to councils for collection fund losses, but this does not extend to losses associated with collection it only provides support for collection, it only provides support for reductions to the base and additional reliefs.

Description of Risk

Client - ICT Virus or Hacking Attack Description: Farhad Cantel ICT - data encryption Attack by introduction of a virus or by hacking.

Consequences - Corruption of systems by the introduction of a virus or access to confidential information by hacking. Consequences:

Reputational damage, ICT - loss of service, Additional costs, Customer dissatisfaction, Financial Implications

Risk Manager

Controls

ICT - Disaster Recovery Plan

ICT - malware detection

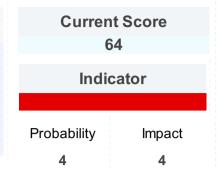
Current Score 75

Indicator

Probability Impact 3 5

Comment: Due to the current COVID19 pandemic, the Council has worked rapidly to ensure remote working is available to employees, and that new technologies are deployed to ensure staff remain connected and processes flow efficiently. There has been a heightened risk of cyber attacks during such times as hackers may take advantage of such situations, as seen in recent cases such as situations, as seen in recent cases such as Hackney Council. The ICT team are proactively reviewing security measures of software and technology in advance of deployment, and also ensuring reminders are sent to staff and members reminding them about email security. New cyber security training was rolled out in quarter 3 and additional measures have been put in place following personal surideness. been put in place following national guidance.

| Description of Risk | Risk Manager | Controls |
|--|-----------------|--|
| Planning - Inadequate professional resource Description: Lack of professional staff to prepare planning documents, determine planning applications, tackle breaches of planning control and inspect trees and woodlands Consequences: Reputational damage, Additional costs, HR - High Staff Turnover, Customer dissatisfaction, Low morale, Service delivery -can't meet demand | Colin Haigh | Planning - Qualifications and Training |



Comment: Planning Service relying on agency staff where recruitment of permanent staff has been unsuccessful. Significant risk of backlogs, appeals and complaints if permanent appointments cannot be made and agency staff cannot be retained.

| Description | of | Ris | k |
|-------------|----|-----|---|
|-------------|----|-----|---|

Description of Risk

Env. Services - Car Park income Description: Reduced income if charges are perceived to high - competitors undercut parking charges - less shoppers - less business usage, less commuters due to national restrictions: Risk: loss of income and parking in roads and complaints - reputational Consequences: Reputational damage

Risk Manager

Controls

Durk Reyner

Car parks

Current Score 80

Indicator

Probability

5

Impact

Comment: The fall in car park usage was almost100% during the first lockdown and has only partly recovered during the periods when out of lockdown. The 2nd and 3rd lockdowns has seen a level of car park usage, which is mainly by shoppers and local workers, and, to a very limiting extent commuters. It is envisaged that car park income will fall considerably below last year's performance and will remain the case and not recover for the rest of this financial year.

Planning - Housing land supply Description: Failure to maintain rolling five year housing land supply, which puts Council at risk of having to approve undesirable developments Consequences: Reputational damage, Additional costs, Intervention, Environment hostile developments, Financial - financial penalties. Speculative planning applications

Risk Manager

Sue Tiley

Controls

Planning - AMR

Planning - Qualifications and Training

Indicator **Probability** Impact 5

Current Score

80

Comment: AMR 2019-2020 has confirmed that Council has 2.58 years of housing land supply, against a Government target of 5 years. The only way to improve this situation is by adopting the Local Plan in order to agree a new housing target and a housing trajectory of urban and green belt sites.

| Description of Risk | Risk Manager | Controls |
|--|-----------------|--|
| Planning - Economic development Description: Continued provision of a well- regarded economic development function to assist businesses Consequences: Economic development, Poor partnership working, Loss of trust, Economic - inward investment | Colin Haigh | Planning - Business Forum Planning - Evidence Planning - Qualifications and Training |

Current Score 125 Indicator

Probability Impact 5 5

Comment: England back in Tier 4 lockdown. Comment: England back in fier 4 lockdown.
Coronavirus has had a significant impact on businesses and high streets. UK likely to be in recession for a considerable period of time.
Many businesses will owe money to Government and banks. Economic Development function will seek to assist wherever possible.

Description of Risk

Campus West - Production of an annual Pantomime Description: Risks associated with Underwood the production of an annual pantomime inhouse at Campus West. Consequences:
Reputational damage, Additional costs,
Customer dissatisfaction, Financial - affects on receipts

Risk Manager Controls

Paul

Staff

Current Score 80

Indicator

Probability **Impact** 5

Comment: The 2020 production of 'Jack and the Beanstalk' has been postponed to 2021 due to the significant impacts arising from Covid-19. It would not have been possible to maintain social distancing for either the cast or crew, and a major reduction in the seating capacity in the theatre would mean production costs would not be covered.

Description of Risk

Planning - Loss of major businesses Description: Loss of major businesses, in terms of jobs, economic development, prosperity, skills and town centre vitality Consequences: Community impact, Economic development, Economic - local infrastructure

Risk Manager **Controls**

Colin Haigh

Planning - Business Forum

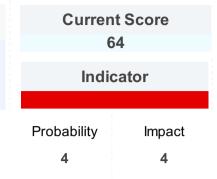
Current Score 125

Indicator

Probability Impact 5 5

Comment: UK back in Tier 4 lockdown. No maior business losses known to date. It is presumed that Tesco and Ocado have traded well during coronavirus lockdown. John Lewis remains shut. Most harm likely in SME and self-employed sector. Retailers, restaurants and pubs are struggling and facing job cuts. Visitor destinations are struggling and facing job cuts. Risk remains high while coronavirus and lockdown restrictions continue.

| Description of Risk | Risk Manager | Controls |
|--|-----------------|-------------------------|
| Planning - Hackitt Review Description: Tighter regulations for multi-occupied residential buildings (18+ metres or 6+ storeys) and other complex buildings. Consequences: Unsafe assets, Loss of trust | Colin Haigh | Planning - Consultation |



Comment: Hackitt Review recommendations are being incorporated into forthcoming Building Safety Act. This includes: a new national building safety regulator within HSE; tighter regulations for all multi-occupied residential buildings of 18+ metres or 6+ storeys; a golden thread duty-holder regime that applies to clients, principal designers, principal contractors and others; fire statements as part of planning applications; a requirement to consult Fire & Rescue Services about relevant planning applications; an Accountable Person for in-scope buildings; a building safety manager for in-scope buildings; and greater engagement with residents. Officers have mapped these issues and are ready to implement them once the legislation is enacted.

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No consequence

Supplier financial failure Description: Failure of Andrew

supplier due to bankruptcy etc Consequences: Harper

Risk Manager Controls

Contract Management Guide

Credit Agency alerts

Current Score 64

Indicator

Probability Impact
4 4

COMMENT: NONE