



Risk Report

<i>Description of Risk</i>	<i>Risk Manager</i>	<i>Controls</i>	Current Score		<p>Comment: Due to the current COVID-19 pandemic, there will be additional financial pressures on residents and businesses. Whilst the Government has announced a range of measures (including extension of the small business rates relief scheme, retail relief, small business grants, retail and hospitality grants, and council tax hardship funds) we are expecting that there will be an impact on the collection rates, and ultimately this could impact on the funds available to the Council. This is being closely monitored. We continue to be behind target, but are still doing well relatively to some other areas. We are working with other Councils to look at emerging trends and collection rates. We are also closely monitoring our Council Tax Support levels. The government has announced additional support to councils for collection fund losses, but this does not extend to losses associated with collection, it only provides support for reductions to the base and additional reliefs.</p>
<p>Client - Collection Risks Description: Maintain collection rates for council tax and business rates. Consequences: Financial - affects on receipts, Reputational damage, Service delivery - loss/reduction</p>			64		
<p>Farhad Cantel Client - Partner Management</p>			Indicator		
			Probability 4	Impact 4	
<i>Description of Risk</i>	<i>Risk Manager</i>	<i>Controls</i>	Current Score		<p>Comment: Due to the current COVID19 pandemic, the Council has worked rapidly to ensure remote working is available to employees, and that new technologies are deployed to ensure staff remain connected and processes flow efficiently. There has been a heightened risk of cyber attacks during such times as hackers may take advantage of such situations, as seen in recent cases such as Hackney Council. The ICT team are proactively reviewing security measures of software and technology in advance of deployment, and also ensuring reminders are sent to staff and members reminding them about email security. New cyber security training was rolled out in quarter 3 and additional measures have been put in place following national guidance.</p>
<p>Client - ICT Virus or Hacking Attack Description: Attack by introduction of a virus or by hacking. Consequences - Corruption of systems by the introduction of a virus or access to confidential information by hacking. Consequences: Reputational damage, ICT - loss of service, Additional costs, Customer dissatisfaction, Financial Implications</p>			75		
<p>Farhad Cantel ICT - data encryption</p>			Indicator		
<p>ICT - Disaster Recovery Plan ICT - malware detection</p>			Probability 3	Impact 5	

Description of Risk	Risk Manager	Controls
Planning - Inadequate professional resource Description: Lack of professional staff to prepare planning documents, determine planning applications, tackle breaches of planning control and inspect trees and woodlands Consequences: Reputational damage, Additional costs, HR - High Staff Turnover, Customer dissatisfaction, Low morale, Service delivery -can't meet demand	Colin Haigh	Planning - Qualifications and Training

Current Score	
64	
Indicator	
<div style="background-color: red; height: 10px; width: 100%;"></div>	
Probability	Impact
4	4

Comment: Planning Service relying on agency staff where recruitment of permanent staff has been unsuccessful. Significant risk of backlogs, appeals and complaints if permanent appointments cannot be made and agency staff cannot be retained.

Description of Risk	Risk Manager	Controls
Env. Services - Car Park income Description: Reduced income if charges are perceived to high - competitors undercut parking charges - less shoppers - less business usage, less commuters due to national restrictions Risk: loss of income and parking in roads and complaints - reputational Consequences: Reputational damage	Durk Reyner	Car parks

Current Score	
80	
Indicator	
<div style="background-color: red; height: 10px; width: 100%;"></div>	
Probability	Impact
5	4

Comment: The fall in car park usage was almost 100% during the first lockdown and has only partly recovered during the periods when out of lockdown. The 2nd and 3rd lockdowns has seen a level of car park usage, which is mainly by shoppers and local workers, and, to a very limiting extent commuters. It is envisaged that car park income will fall considerably below last year's performance and will remain the case and not recover for the rest of this financial year.

Description of Risk	Risk Manager	Controls
Planning - Housing land supply Description: Failure to maintain rolling five year housing land supply, which puts Council at risk of having to approve undesirable developments Consequences: Reputational damage, Additional costs, Intervention, Environment - hostile developments, Financial - financial penalties, Speculative planning applications	Sue Tiley	Planning - AMR Planning - Qualifications and Training

Current Score	
80	
Indicator	
<div style="background-color: red; height: 10px; width: 100%;"></div>	
Probability	Impact
5	4

Comment: AMR 2019-2020 has confirmed that Council has 2.58 years of housing land supply, against a Government target of 5 years. The only way to improve this situation is by adopting the Local Plan in order to agree a new housing target and a housing trajectory of urban and green belt sites.

Description of Risk	Risk Manager	Controls	Current Score		Comment: England back in Tier 4 lockdown. Coronavirus has had a significant impact on businesses and high streets. UK likely to be in recession for a considerable period of time. Many businesses will owe money to Government and banks. Economic Development function will seek to assist wherever possible.
Planning - Economic development Description: Continued provision of a well-regarded economic development function to assist businesses Consequences: Economic development, Poor partnership working, Loss of trust, Economic - inward investment	Colin Haigh	Planning - Business Forum	125		
		Planning - Evidence	Indicator		
		Planning - Qualifications and Training	<table border="1"> <tr> <th data-bbox="1285 341 1451 368">Probability</th> <th data-bbox="1509 341 1599 368">Impact</th> </tr> <tr> <td data-bbox="1341 395 1364 419">5</td> <td data-bbox="1543 395 1565 419">5</td> </tr> </table>	Probability	
Probability	Impact				
5	5				

Description of Risk	Risk Manager	Controls	Current Score		Comment: The 2020 production of 'Jack and the Beanstalk' has been postponed to 2021 due to the significant impacts arising from Covid-19. It would not have been possible to maintain social distancing for either the cast or crew, and a major reduction in the seating capacity in the theatre would mean production costs would not be covered.				
Campus West - Production of an annual Pantomime Description: Risks associated with the production of an annual pantomime in-house at Campus West. Consequences: Reputational damage, Additional costs, Customer dissatisfaction, Financial - affects on receipts	Paul Underwood	Staff	80						
		Indicator	<table border="1"> <tr> <th data-bbox="1285 831 1451 858">Probability</th> <th data-bbox="1509 831 1599 858">Impact</th> </tr> <tr> <td data-bbox="1341 885 1364 909">5</td> <td data-bbox="1543 885 1565 909">4</td> </tr> </table>			Probability	Impact	5	4
		Probability	Impact						
5	4								

Description of Risk	Risk Manager	Controls	Current Score		Comment: UK back in Tier 4 lockdown. No major business losses known to date. It is presumed that Tesco and Ocado have traded well during coronavirus lockdown. John Lewis remains shut. Most harm likely in SME and self-employed sector. Retailers, restaurants and pubs are struggling and facing job cuts. Visitor destinations are struggling and facing job cuts. Risk remains high while coronavirus and lockdown restrictions continue.				
Planning - Loss of major businesses Description: Loss of major businesses, in terms of jobs, economic development, prosperity, skills and town centre vitality Consequences: Community impact, Economic development, Economic - local infrastructure	Colin Haigh	Planning - Business Forum	125						
		Indicator	<table border="1"> <tr> <th data-bbox="1285 1319 1451 1347">Probability</th> <th data-bbox="1509 1319 1599 1347">Impact</th> </tr> <tr> <td data-bbox="1341 1374 1364 1398">5</td> <td data-bbox="1543 1374 1565 1398">5</td> </tr> </table>			Probability	Impact	5	5
		Probability	Impact						
5	5								

Description of Risk	Risk Manager	Controls	Current Score		<p>Comment: Hackitt Review recommendations are being incorporated into forthcoming Building Safety Act. This includes: a new national building safety regulator within HSE; tighter regulations for all multi-occupied residential buildings of 18+ metres or 6+ storeys; a golden thread duty-holder regime that applies to clients, principal designers, principal contractors and others; fire statements as part of planning applications; a requirement to consult Fire & Rescue Services about relevant planning applications; an Accountable Person for in-scope buildings; a building safety manager for in-scope buildings; and greater engagement with residents. Officers have mapped these issues and are ready to implement them once the legislation is enacted.</p>
Planning - Hackitt Review Description: Tighter regulations for multi-occupied residential buildings (18+ metres or 6+ storeys) and other complex buildings. Consequences: Unsafe assets, Loss of trust	Colin Haigh	Planning - Consultation	64		
			Indicator		
			Probability	Impact	
			4	4	

Description of Risk	Risk Manager	Controls	Current Score		<p>COMMENT: NONE</p>
Supplier financial failure Description: Failure of supplier due to bankruptcy etc Consequences: No consequence	Andrew Harper	Contract Management Guide Credit Agency alerts	64		
			Indicator		
			Probability	Impact	
			4	4	